

“ Check Before You Invest”

BEFORE investing your money, ask the person/company who is offering services (legal, investment, insurance, financial etc.) to complete this form and leave it with you.

What product(s) are you offering? : (Check all that apply)

- | | | |
|----------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Insurance | Type: <input checked="" type="checkbox"/> Annuities | <input checked="" type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Investment | Type: <input checked="" type="checkbox"/> Securities | <input checked="" type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Legal Services | Type: <input checked="" type="checkbox"/> Living Trust | <input checked="" type="checkbox"/> Estate Planning <input checked="" type="checkbox"/> Other: _____ |
| <input checked="" type="checkbox"/> Accounting | <input checked="" type="checkbox"/> Other (Please Specify): _____ | |

Who regulates or licenses this product/service?

- | | |
|----------------------------------------------------------------------------|--------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> California Department of Corporations | <input checked="" type="checkbox"/> California Department of Real Estate |
| <input checked="" type="checkbox"/> California Department of Insurance | <input checked="" type="checkbox"/> California Board of Accountancy |
| <input checked="" type="checkbox"/> California State Bar Association | |
| <input checked="" type="checkbox"/> Other (please identify/explain): _____ | |

What license(s) do you hold that authorize(s) you to sell this product/service to me?

LICENSE TYPE	LICENSE INFORMATION
Insurance License	License No:
Securities License	CRD No:
Investment Advisor	IARD/CRD No:
State Bar License	State Bar No:
Real Estate License	DRE License No:
Accountant License	CPA License No:
Other	Explain:

Seller/Agent Information

Seller/Agent Name: _____

Company/Business Name: _____

Company/Business Address: _____

Phone Number: _____ Today's Date: _____



Provided by the California Department of Corporations
1-866-ASK-CORP

Once the information on the front side of this form has been filled out and left with you, call the appropriate agency to confirm that the person/company holds a valid license [is BOTH licensed and authorized to sell/offer the product to you] **BEFORE** you **INVEST** your money with anyone.



The California Department of Corporations
1-866-ASK-CORP (275-2677)



The California Department of Insurance
1-800-927-HELP (4357)



The California Department of Real Estate
Contact one of the four regional offices:
Sacramento: 916-227-0931
Los Angeles: 213-620-2072
San Diego: 619-525-4192
Oakland: 510-622-2552



The State Bar of California
1-800-843-9053

A knowledgeable consumer is a “safe” consumer. The **SAIF** Program (**Seniors Against Investment Fraud**) offers safety through education! Don’t invest until you have completed the “**Four C’s**”:

Call SAIF First! **1-866-275-2677**
Consider all your options.
Compare the product to others.
Consult with someone you trust.